



# Thalassemias: Past and Future

Paolo Ascenzi ...

**... and Friends**

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De Simone, G., Quattrocchi, A., Mancini, B., di Masi, A., Nervi, C., & Ascenzi, P.  
(2022) Thalassemias: From gene to therapy. *Molecular Aspects of Medicine*, 84, 101028.

**Thalassemias are hereditary disorders characterized by:**

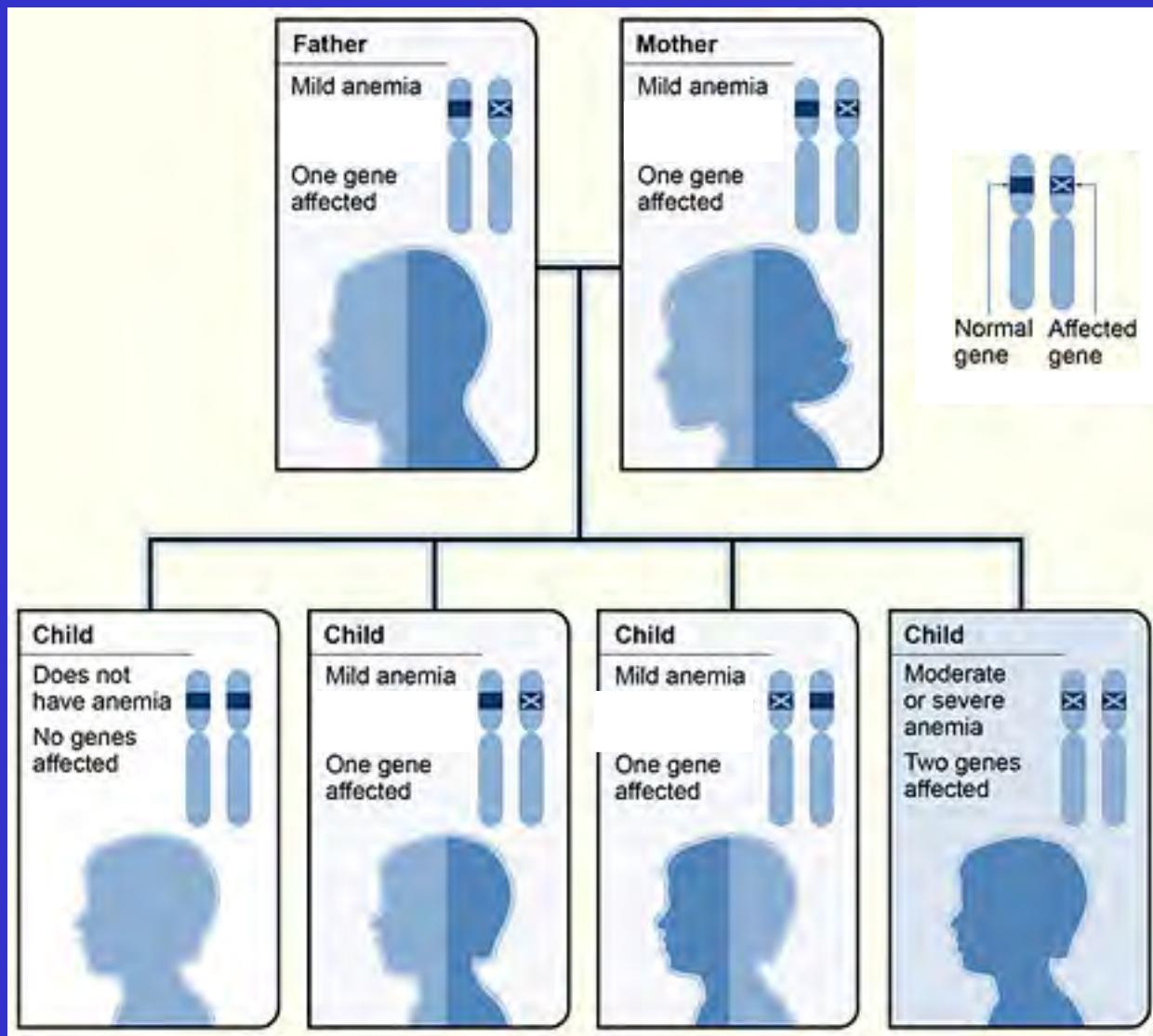
- Microcytic and hypochromic anemia
- Hemoglobinopathy due to deficiency of globin chains belonging to either the  $\alpha$ - or  $\beta$ -cluster

**The presentation and severity of thalassemia depends on the number of defective hemoglobin chains**  
 $\alpha, \beta, \gamma, \delta, \delta\beta, \varepsilon\gamma\delta\beta$

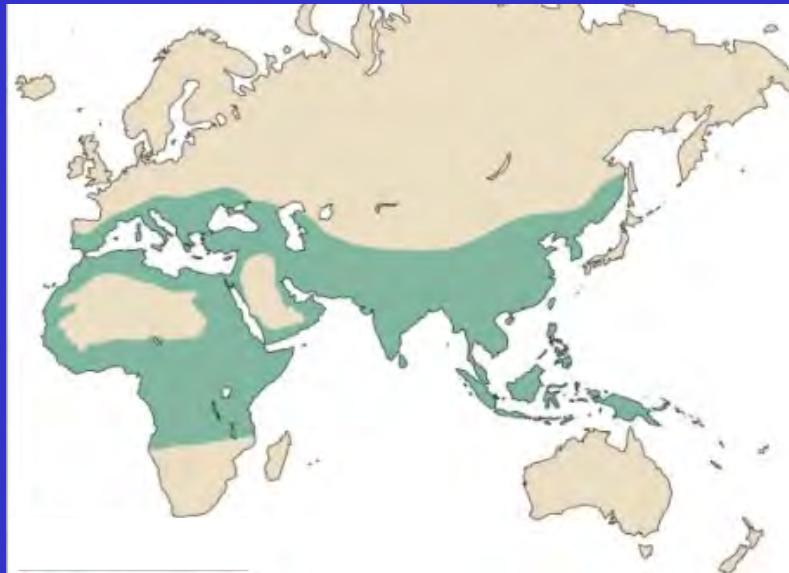
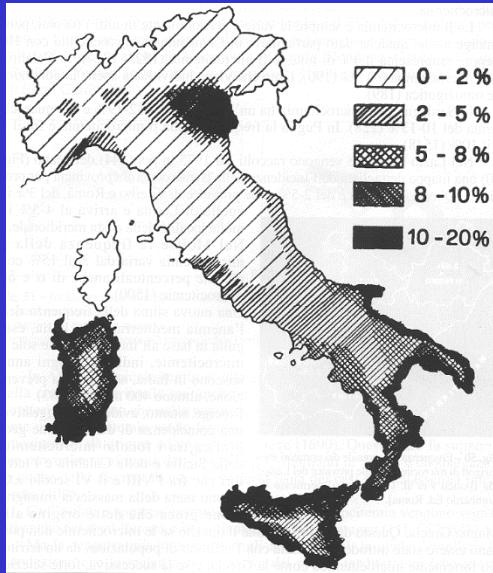
# Thalassemia: From Early Milestones to the Present and Future

- 1889** First report of the homozygous clinical traits of thalassemia
- 1925** Description of the clinical traits of thalassemia
- 1925** Increased osmotic resistance of erythrocytes
- 1932** The term “Thalassemia” was coined recognizing Mediterranean ethnics  
(θάλασσα, thàlassa, «sea», and αἷμα, àima, «blood»)
- 1938** Thalassemia is recognized as a genetic disease
- 1944** First blood transfusion for clinical treatment
- 1948** Thalassemia is determined to be caused by an abnormal hemoglobin
- 1964** The thalassemia mutation is found to protect against malaria
- 1976** Prenatal diagnosis for thalassemia becomes available
- 1977** Iron chelation improve patient survival
- 1982** Bone marrow transplantation is first used to cure thalassemia
- 1985** Pharmacologic HbF induction: use of butyrate and hydroxyurea in clinical trials
- 2005** Gene therapy clinical trials with improved vectors in patients with severe disorder
- 2010-2022** Encouraging results from clinical trials of the reactivation of fetal hemoglobin by lentiviral vectors or genome editing

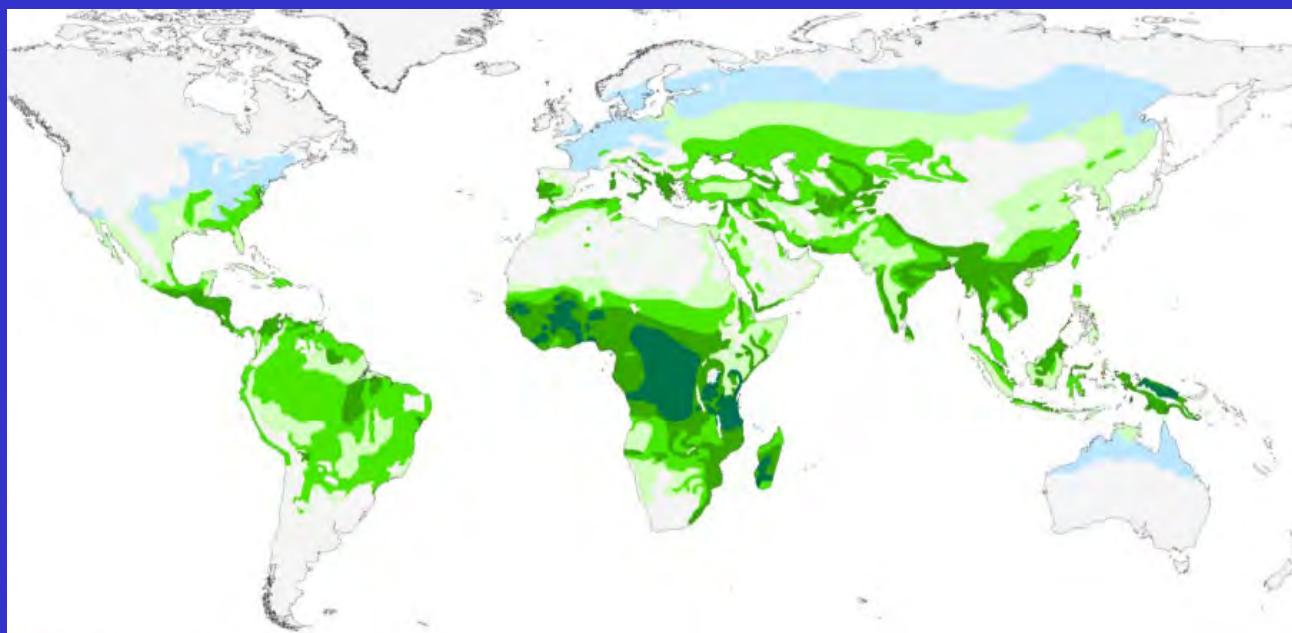
# Mendelian inheritance of thalassemia



# Geographical distribution



**Thalassemia**  
1.7% of the  
global population



**Malaria**  
3.6% of the  
global population

# Thalassemia detection in human ancient bones

*Proc. Natl. Acad. Sci. USA*  
Vol. 82, pp. 7170–7172, November 1985  
Biochemistry

## Immunological detection of hemoglobin in bones of ancient Roman times and of Iron and Eneolithic Ages

(heme proteins/paleopathology/skeletal remains)

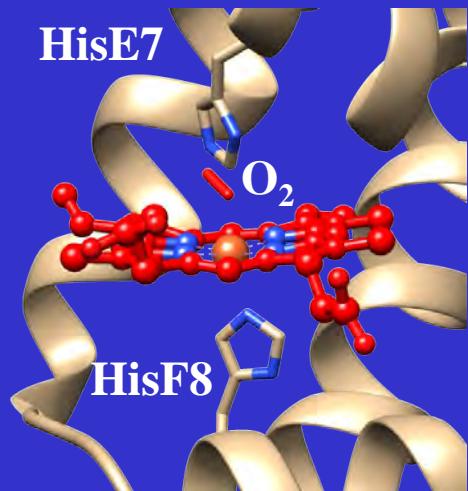
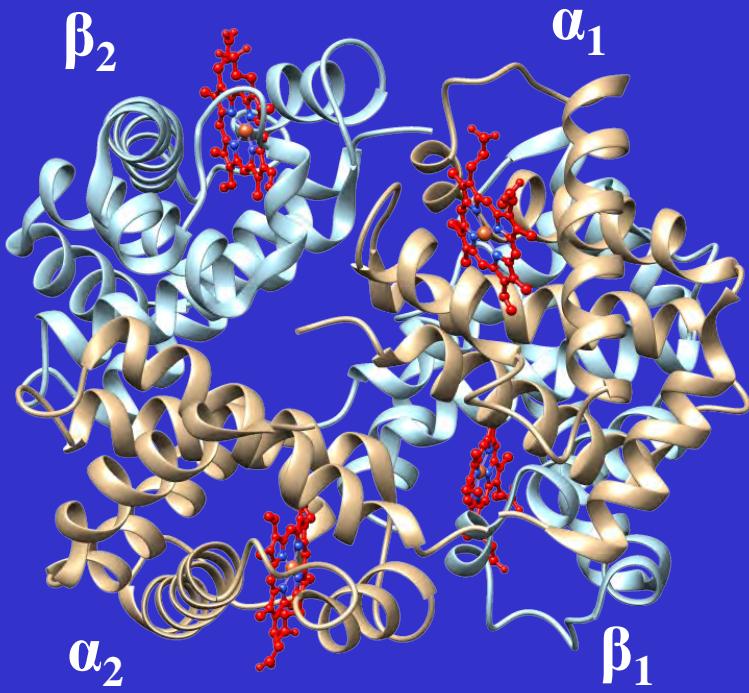
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\*Department of Human Biopathology, University of Rome "La Sapienza," †Institute of Chemistry, Faculty of Medicine, University of Rome "La Sapienza," and ‡Regina Elena Institute for Cancer Research, Rome, Italy

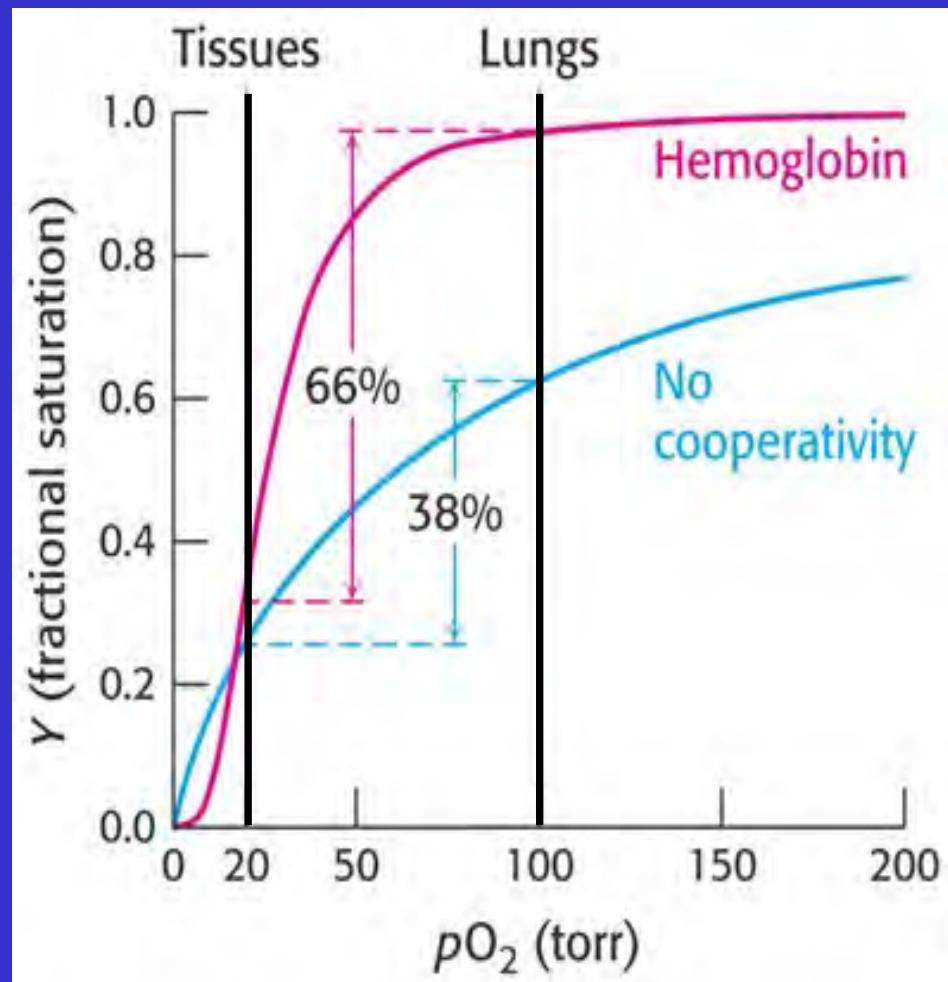
## HISTORY OF MEDICINE

In what ways can human skeletal remains be used to understand health and disease from the past?

Neil H Metcalfe

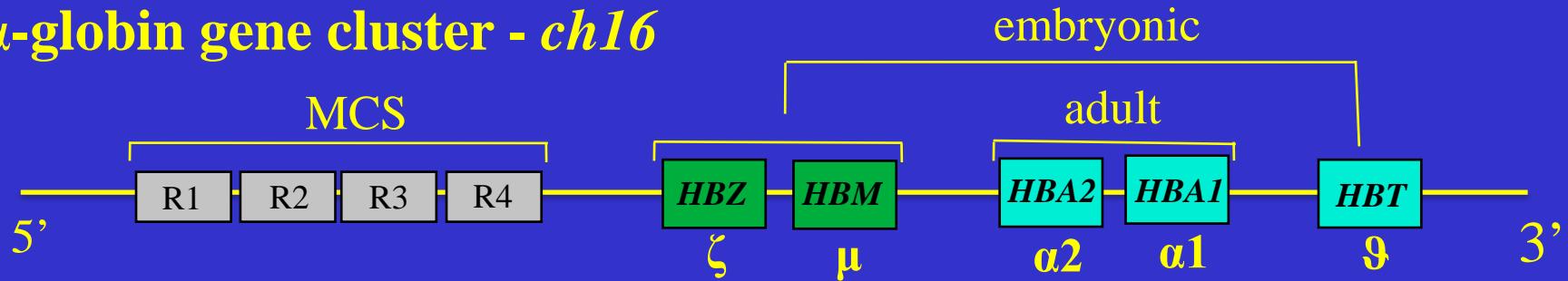


## Hemoglobin: the main actor

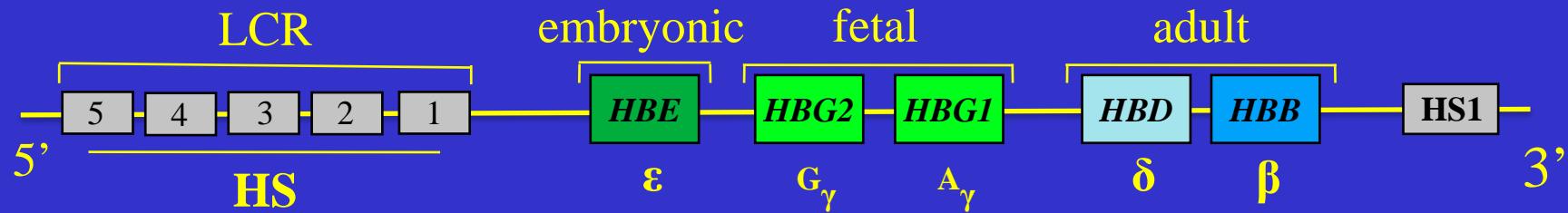


# Globin gene clusters

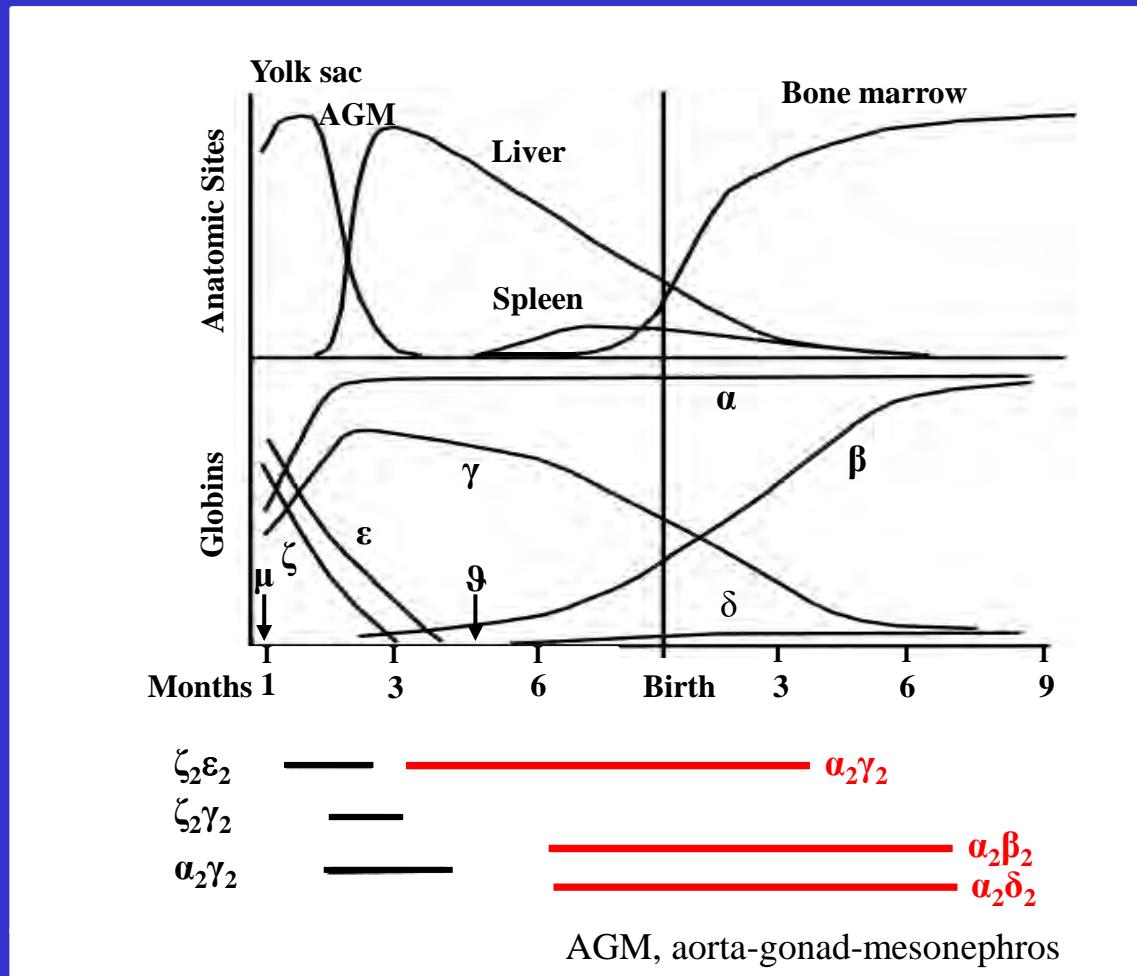
## $\alpha$ -globin gene cluster - *ch16*



## $\beta$ -globin gene cluster - *ch11*

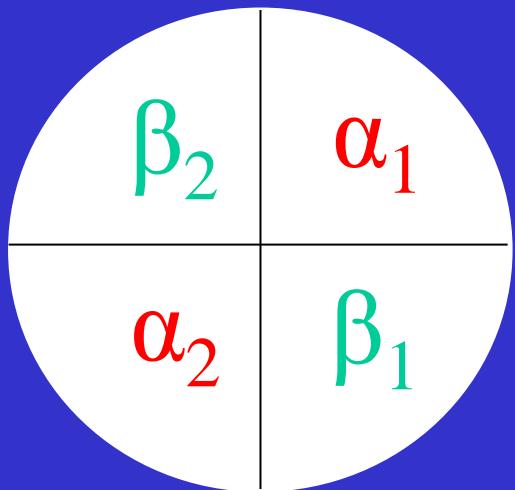


# Hemoglobin chains expression during the development of the human hematopoietic system

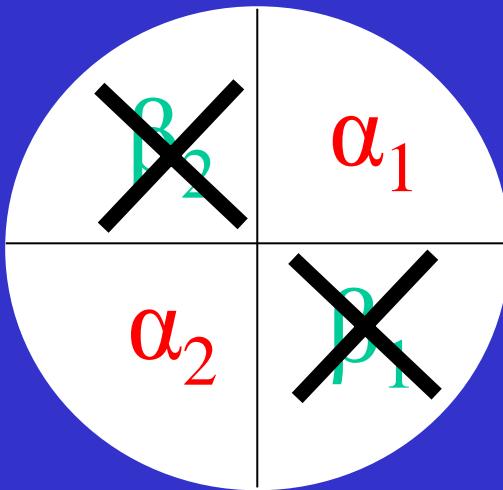


From: Istologia di Monesi, VII Ed., Piccin, Padova, 2018

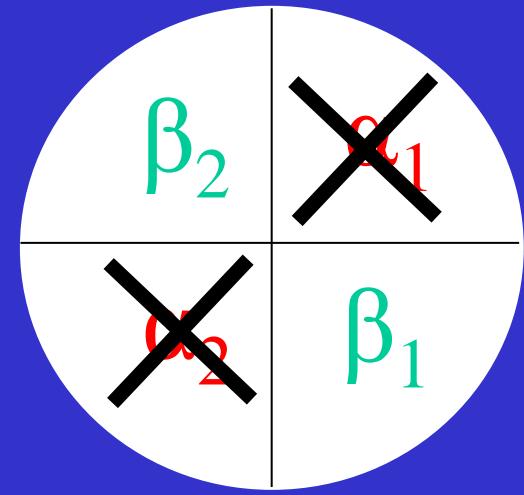
# Molecular bases of $\alpha$ - and $\beta$ -thalassemia



Normal Hb  
HbA and HbA2

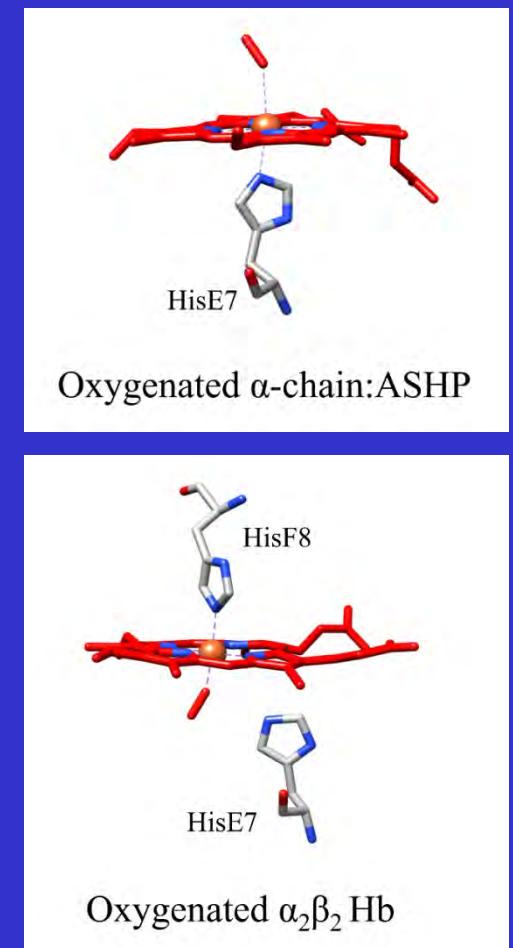
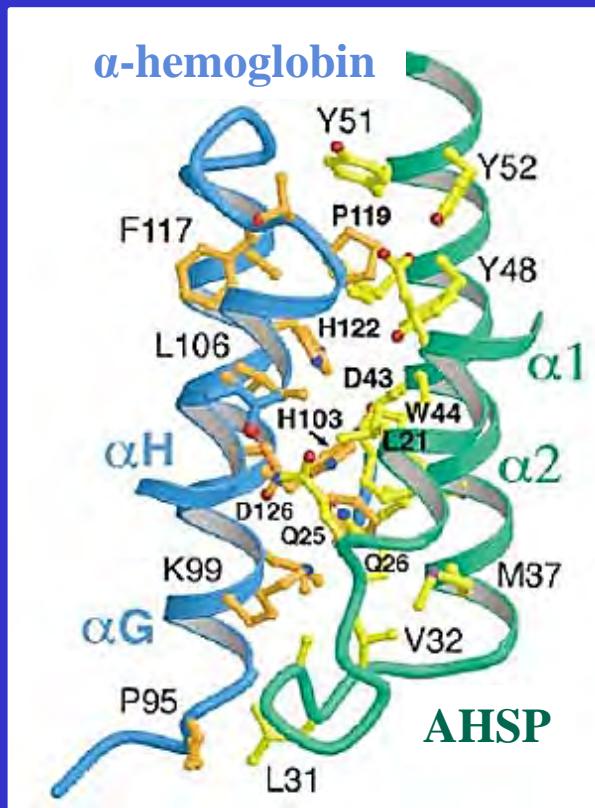
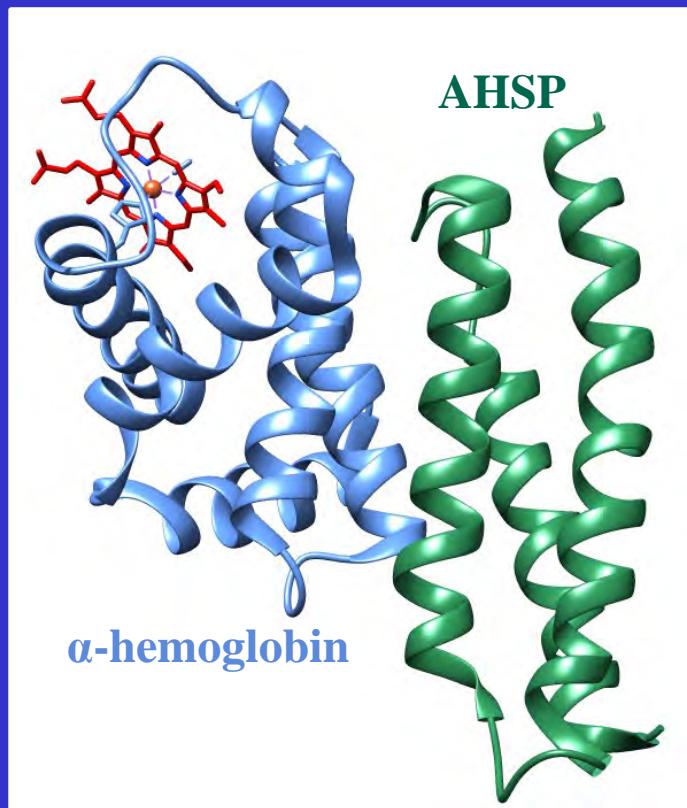


$\beta$ -Thalassemia



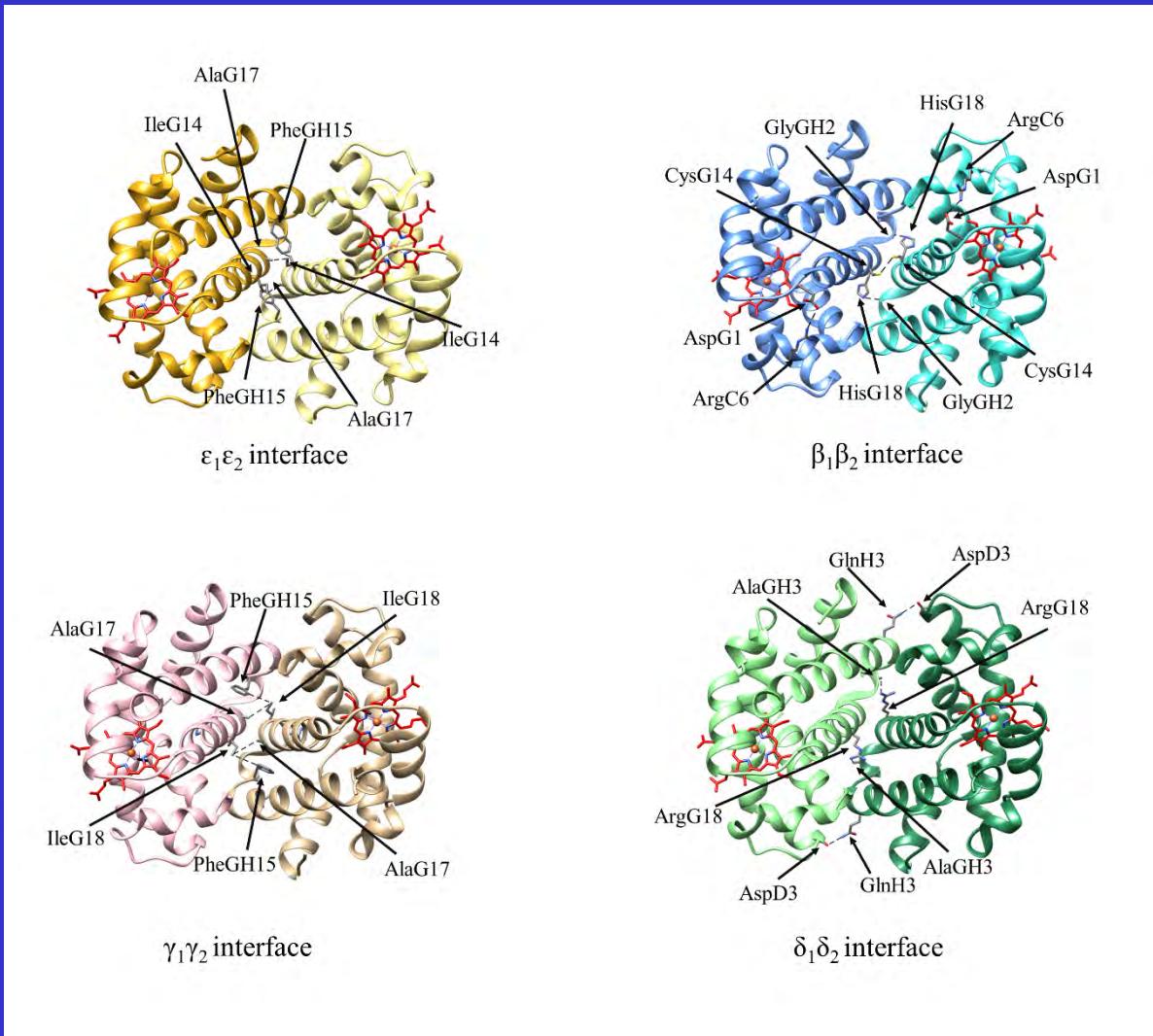
$\alpha$ -Thalassemia

# Thalassemic globins belonging to the $\alpha$ -cluster ( $\zeta$ , $\mu$ , $\vartheta$ , $\alpha$ )



Feng L., et al., (2004) Cell, 119, 629-640.

# Subunit contacts of thalassemic globins belonging to the $\beta$ -cluster ( $\epsilon$ , $\gamma$ , $\delta$ , $\beta$ )



# Thalassemic traits

Anemia, beginning early in life

Ineffective erythropoiesis

Extramedullary hematopoiesis

Splenomegaly

Bone deformities

Characteristic *facies* (known as *chipmunk face*)

Red cells with increased resistance to osmotic lysis

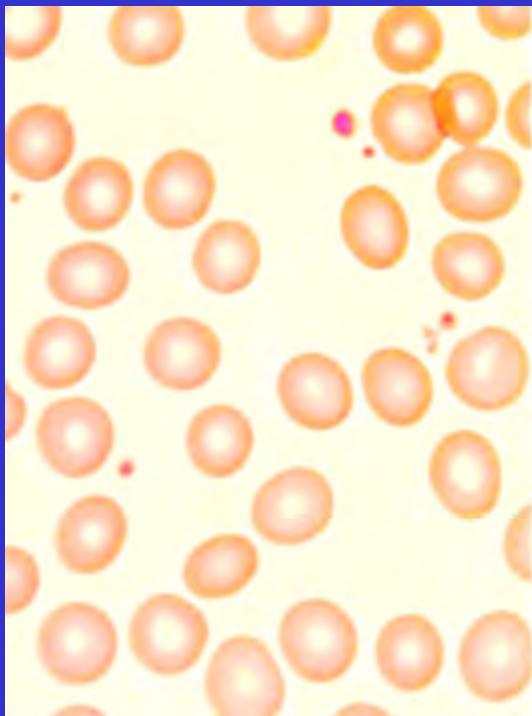
Familial incidence

Von Jaksch, R., 1889. Über leukämie und Leucocytose im Kindesalter.  
Wien Klin. Wchnschr 2, 201

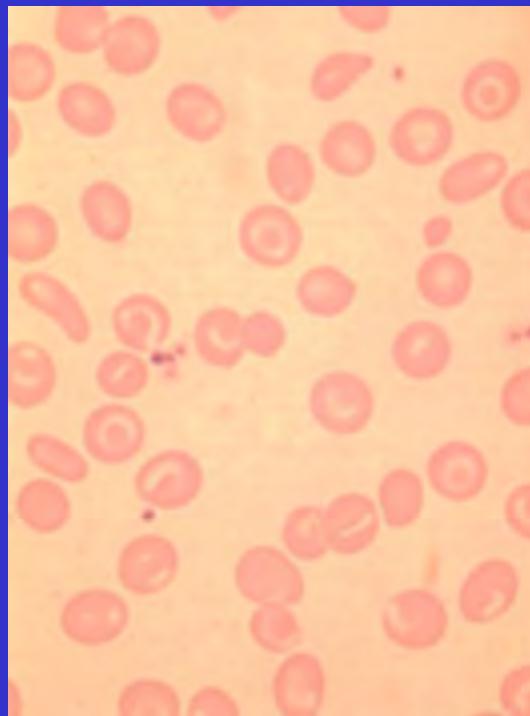
Cooley, T., Lee, P., 1925. Series of cases of splenomegaly in children with anemia  
and peculiar bone changes. Tr. Am. Pediat. 37, 29–30.

Rietti, F., 1925. Ittero emolitico primitivo. Atti Accad Scient Med Nat Ferrara 2, 14–19.

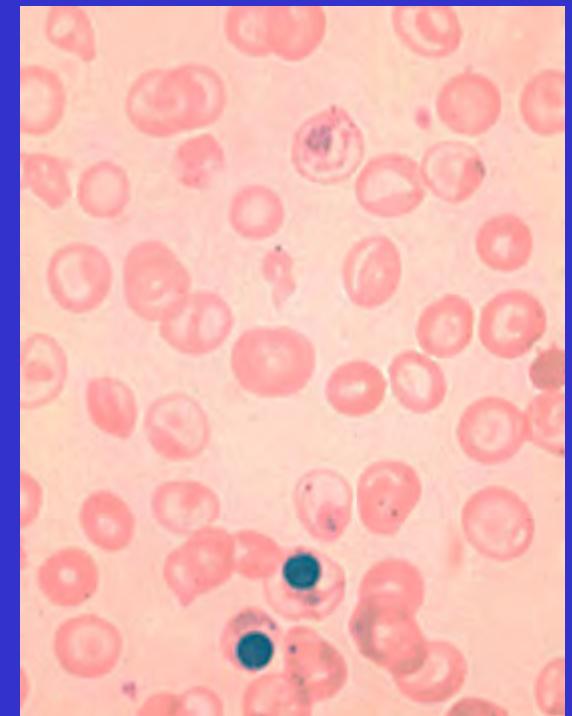
# Normal and thalassemic red blood cells ( $\times 1260$ )



Normal blood



Thalassemia minor



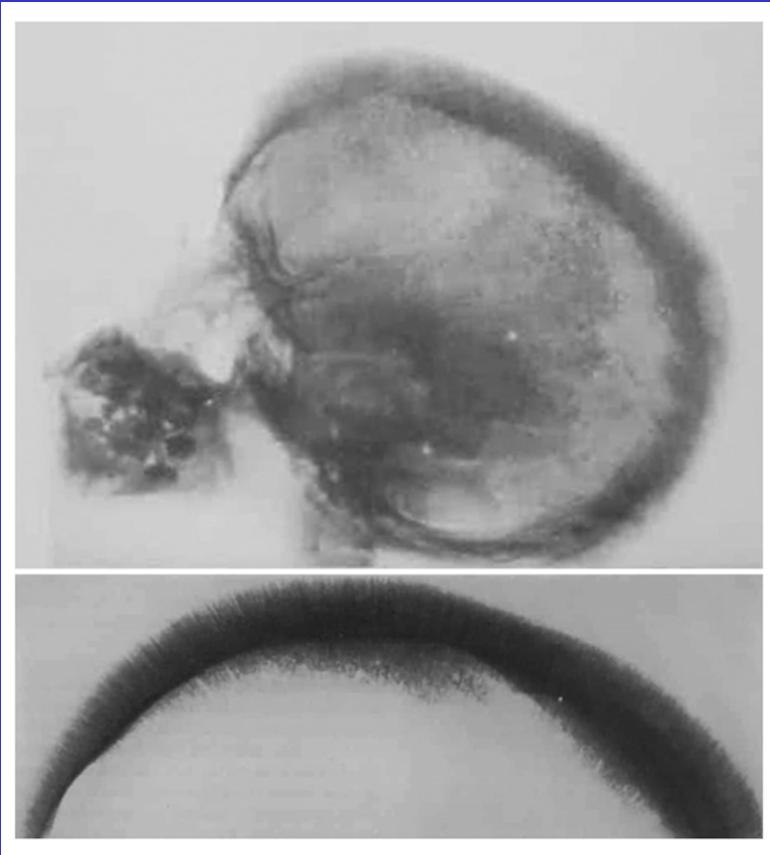
Thalassemia major

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Blood smear: anisocytosis and poikilocytosis of red blood cells. Presence of erythroblasts

From: Kapff CT, Jandl JH, *Blood: Atlas and Sourcebook of Hematology*, Little Brown and Co. Boston, 1981.

# Skull radiograph of an untransfused children with $\beta$ -thalassemia major



From: Ascenzi A, Mottura G, *Trattato di Anatomia Patologica per il Medico Pratico*, Vol 1, UTET, Torino, 1971.  
Bianco Silvestroni I, *Le Talassemie, Un Problema Medico-sociale: Ieri e Oggi*, Istituto Italiano di Medicina Sociale Editore, Roma, 1998.

# **Treatment of thalassemia**

**Blood transfusion and iron chelation**

**Pharmacological reactivation of human fetal hemoglobin**

**Hematopoietic stem cell transplantation**

**Gene therapy**

**Gene editing**

# **Blood transfusion and iron chelation**

**Blood transfusion maintains adequate Hb levels and reduces hypoxia and its consequences:**

Massive expansion of erythroid precursors in hematopoietic tissues

Bone deformities

Hepatosplenomegaly → splenectomy

The target Hb levels are 9-12 g/dl

**The iron chelation therapy prevents hemosiderosis in both transfused and non-transfused patients**

The target ferritin levels are < 1000 ng/ml

Iron chelators: deferoxamine, deferiprone, deferasirox

# Pharmacological reactivation of human fetal hemoglobin

In  $\beta$ - $\delta$ -,  $\delta\beta$ -, thalassemia, the excess of  $\alpha$ -chains can be neutralized by  $\gamma$ -chains

Drugs raising HbF expression levels

5-azacytidine → demethylating agent

Butyrate → histone deacetylation inhibitor

Hydroxyurea → ribonucleotide reductase inhibitor  
→ soluble guanylate cyclase activator

# **Hematopoietic stem cell transplantation**

**Life-time therapy**

**20 years of thalassemia-free survival is 73%**

**Production of normal Hb**

**Defective stem cells are destroyed**

**Heterologous transplantation**

**Immunologically matched donor (<20% of the patients)**

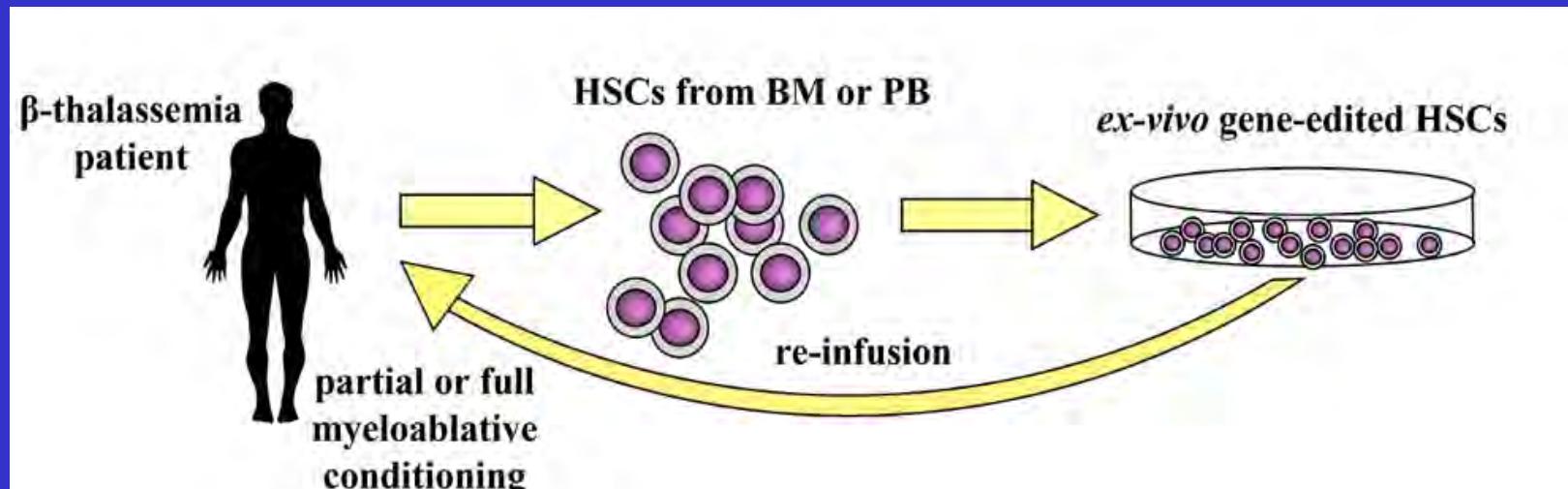
**Immunosuppression**

**Thomas ED, et al. (1982) Marrow transplantation for thalassaemia. Lancet 31, 227–229.**

**Lucarelli G, et al. (1987) Marrow transplantation in patients with advanced thalassemia.**

**N Engl J Med. 316, 1050-5.**

# Gene therapy and genome editing approaches for transfusion-dependent β-thalassemia



Hematopoietic stems cells derived from the patient are genetically modified and re-infused into fully or partially myeloablated patient's bone marrow

Normal differentiation of erythropoietic cells

Life-time therapy

Possible drawbacks: tumor formation, viral toxicity, and germ-line transfer

# As a whole and perspectives

## Prenatal diagnosis

### Therapy

- Blood transfusion and iron chelation
- Bone marrow transplantation
- Pharmacologic HbF induction
- Gene therapy and genome editing

# Thalassemia

# The Neverending Story

(Michael Ende)

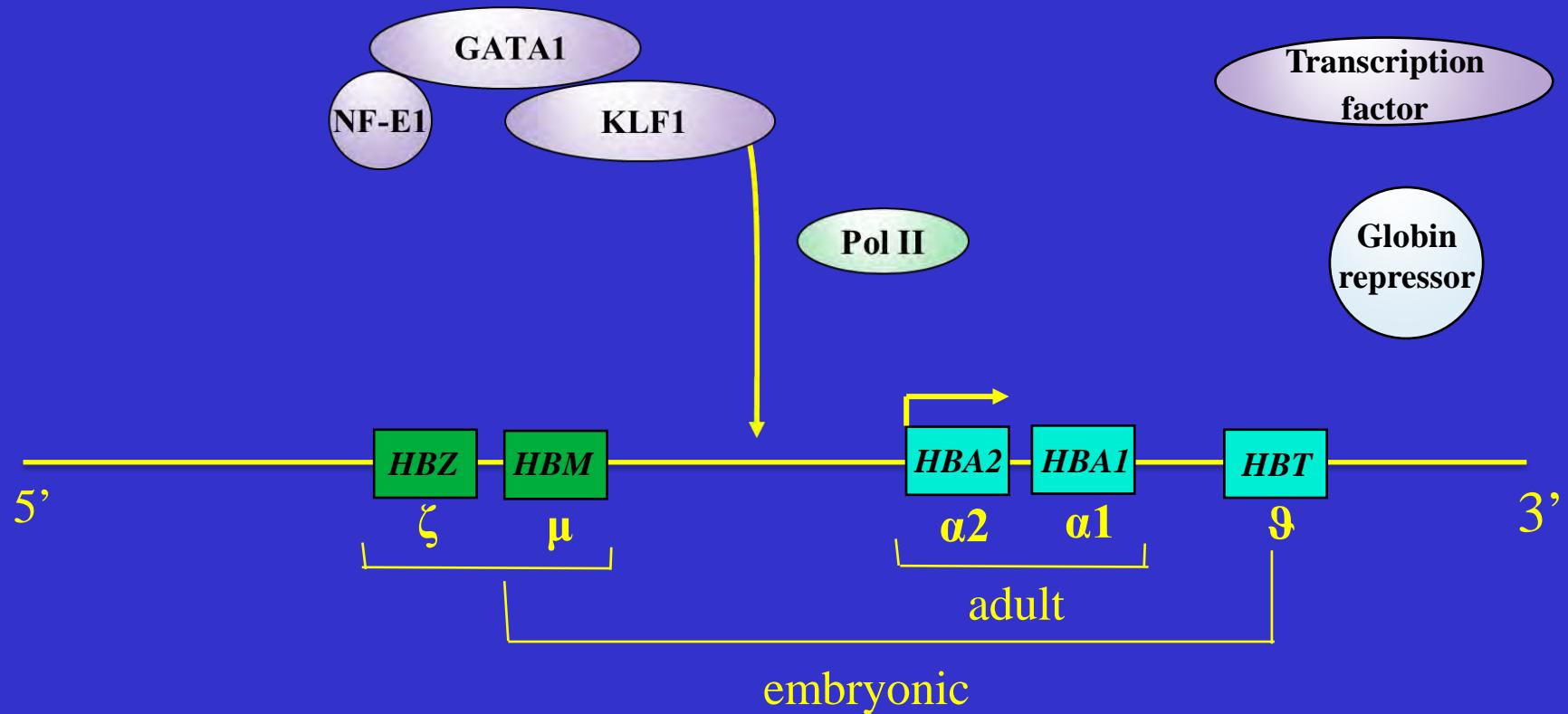




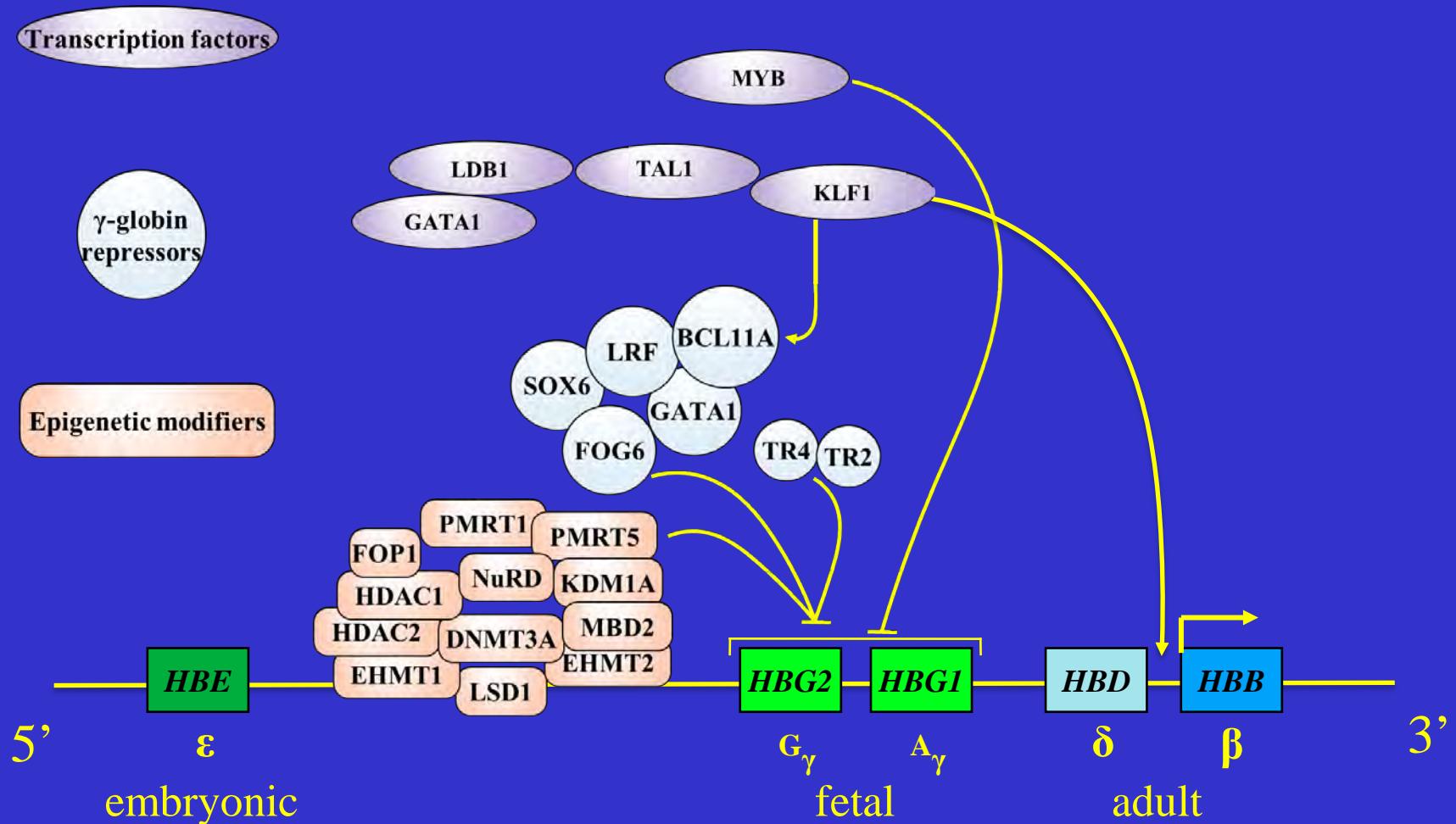




# Modulation of the gene cluster $\alpha$ - *ch16*



# Modulation of the $\beta$ -globin gene cluster - *ch11*

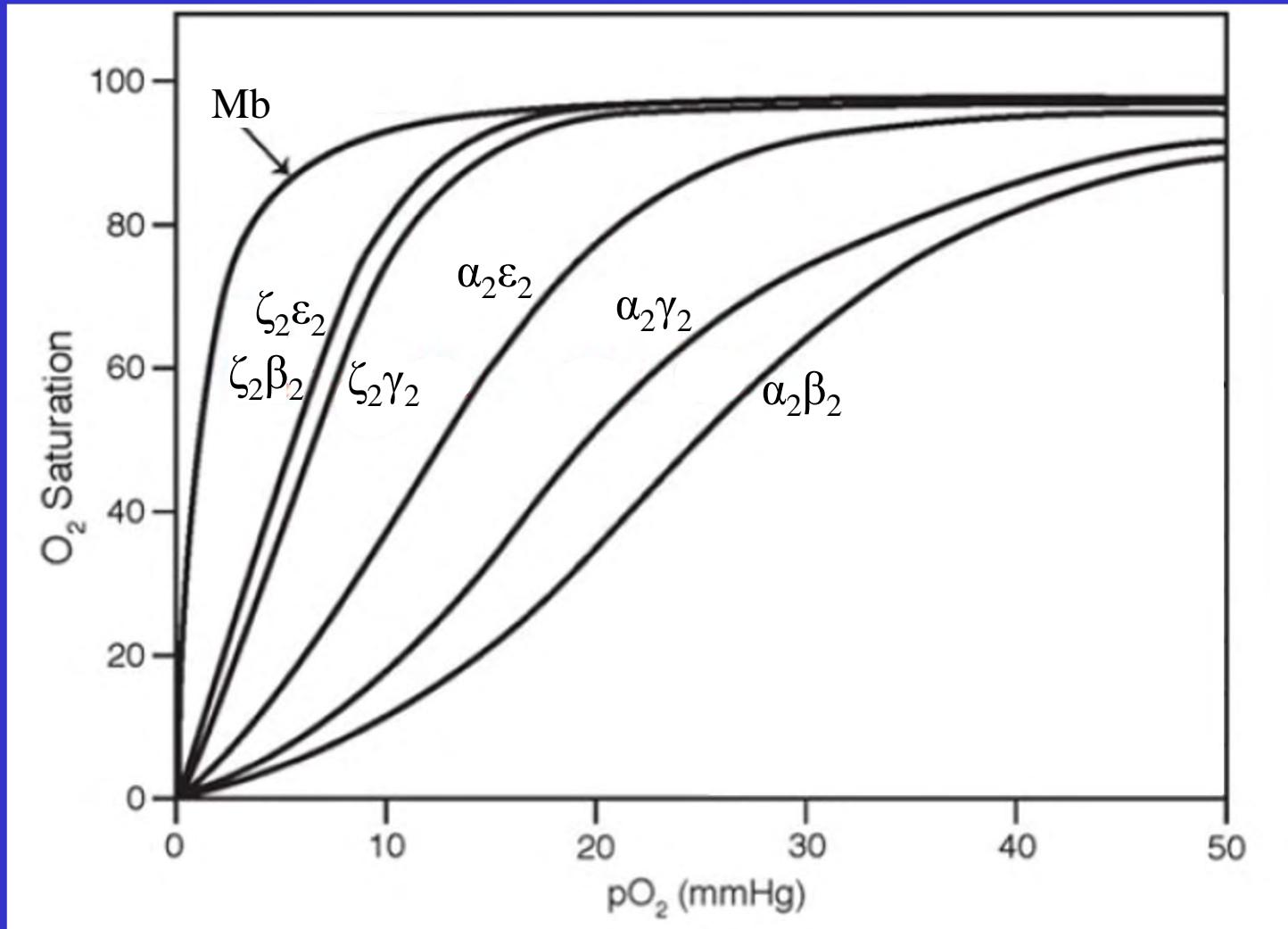


# Multiple alignment of human Hb chains belonging to the $\alpha$ -chain cluster

Chains  $\alpha 2$  and  $\alpha 1$  differ over the 5' and 3' untranslated regions and introns.

# Multiple alignment of human Hb chains belonging to the $\beta$ -chain cluster

# Range of O<sub>2</sub> saturation of normal human Hbs



Manning J.M., et al., Vertebrate and Invertebrate Respiratory Proteins, Lipoproteins and Other Body Fluid Proteins, pp. 275-296, Springer Nature, 2020.

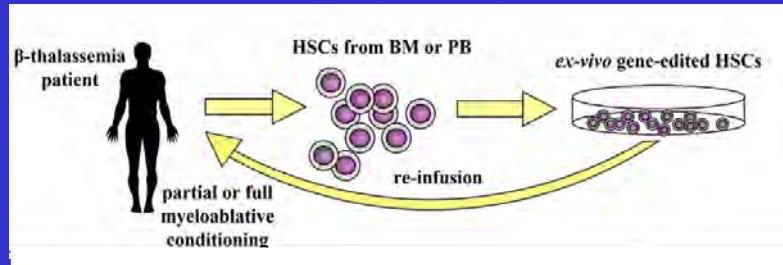
# O<sub>2</sub> affinity of human Hbs

Stage of development	Type of Hb	P <sub>50</sub> value (mmHg)	Hill coefficient
Embryonic Hb (< 12 weeks)	$\zeta_2\epsilon_2$	Gower I	4
	$\zeta_2\gamma_2$	Hb Portland	6
	$\alpha_2\epsilon_2$	Gower II	12
Fetal (3-9 months)	$\alpha_2\gamma_2$	HbF	20
Adult (from birth)	$\alpha_2\delta_2$	HbA2	6.5
	$\alpha_2\beta_2$	HbA	26
	$\beta_4$		0.5
			1
	$\gamma_4$	Hb Bart's	0.26
			1
	$\alpha$		

Antonini E. and Brunori M., Hemoglobin and Myoglobin in their Reactions with Ligands, p. 310 North-Holland Publishing Co., Amsterdam, London, 1971.

Manning J.M., et al., Vertebrate and Invertebrate Respiratory Proteins, Lipoproteins and Other Body Fluid Proteins, pp. 275-296, Springer Nature, 2020.

# Gene therapy



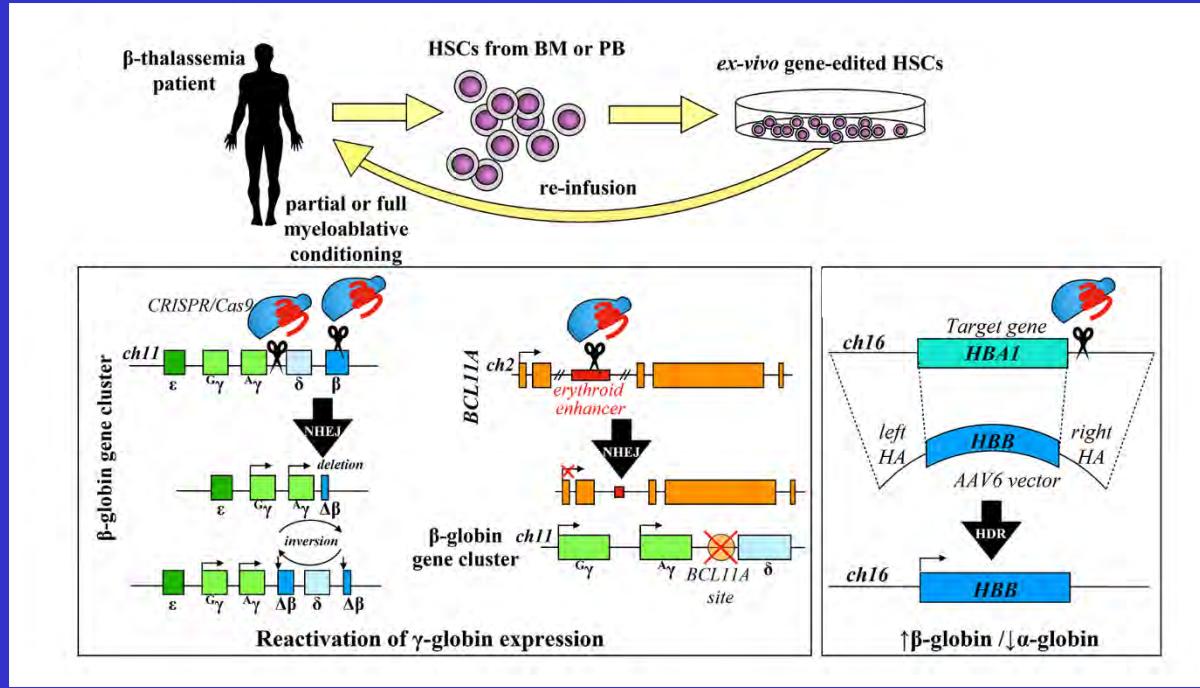
Retroviral vectors for β-thalassemia gene therapy								
Retroviral vector name and type	Sequence elements						Clinical trial	
	β-globin			Insulator	β-globin LCR			
	Promoter (bp)	Protein	Intron 2 (bp)		HS2 (bp)	HS3 (bp)	HS4 (bp)	
Mβ6L, γ-retrovirus	-265	WT	374 del	no	423	280	283	N.A.
TNS9, lentivirus	-265	WT	374 del	no	840	1308	1069	Pre-clinical
GLOBE, lentivirus	-265	WT	593 del	no	~1500	~1200	no	Phase I/II
HPV569, lentivirus	-265	βT87Q	372 del	cHS4	646	845	1153	Phase I/II
TNS9.3.55, lentivirus 2014	-615	WT	372 del	no	840	1308	1069	Phase I
TNS9.3.55.A1, lentivirus	-615	WT	~370 del	A1	840	1308	1069	N.A. <sup>1</sup>
BB305, lentivirus	-265	βT87Q	372 del	no	646	845	1153	Phase I/II Phase III
LVβ-sha2, lentivirus	-265	βT87Q	374 del + shmiR cassette <sup>q</sup>	no	646	845	1153	N.A.

Lentiviral vector for HbF induction					
Retroviral vector name and type	Sequence elements				Clinical trial
	Expression cassettes		Regulatory sequences		
thEPOR/shmiR BCL11A, lentivirus	ΔEPOR	shmiR cassette	α-globin LCR HS40	ANK	Pre-clinical

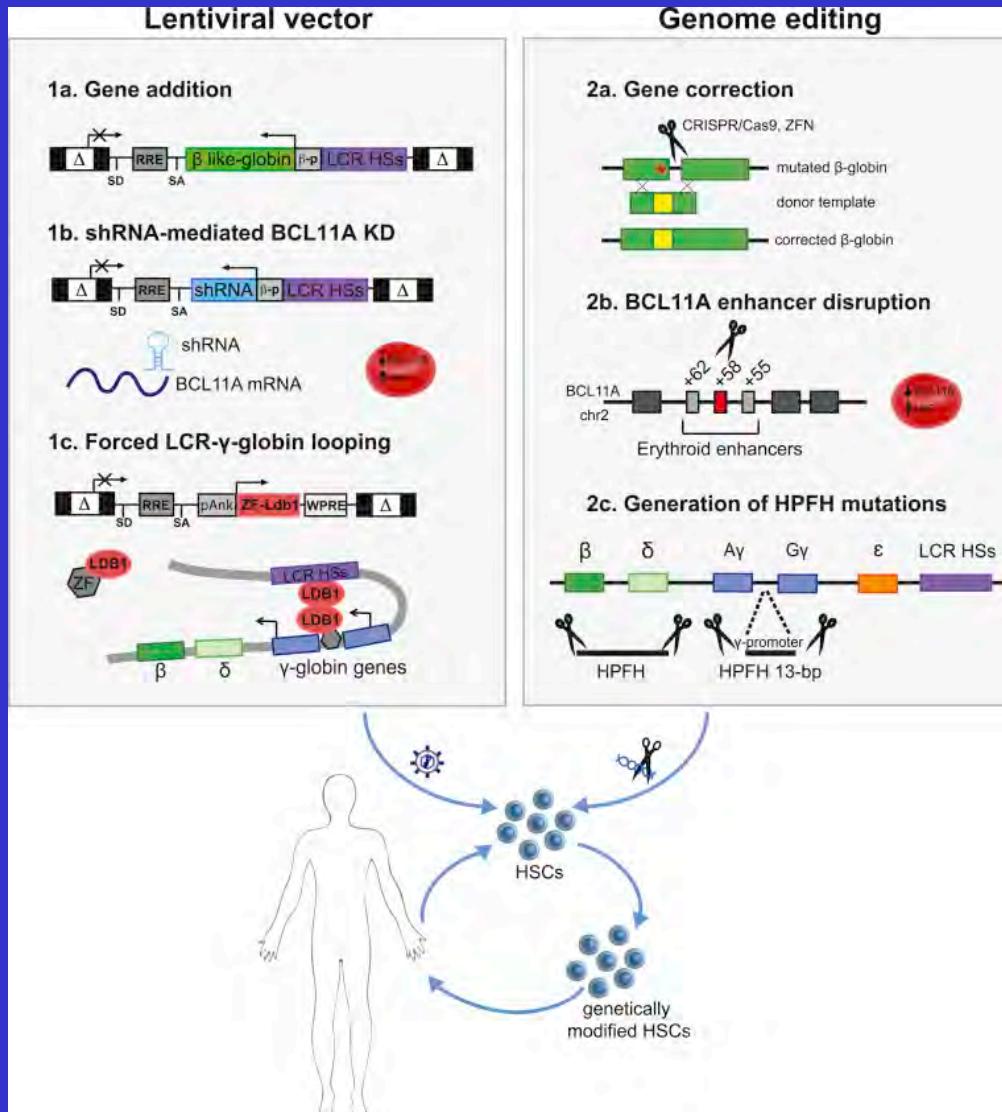
Normal differentiation of erythropoietic cells  
 Gene therapy could lead to tumor formation, viral toxicity, and germ-line transfer

# Genome editing

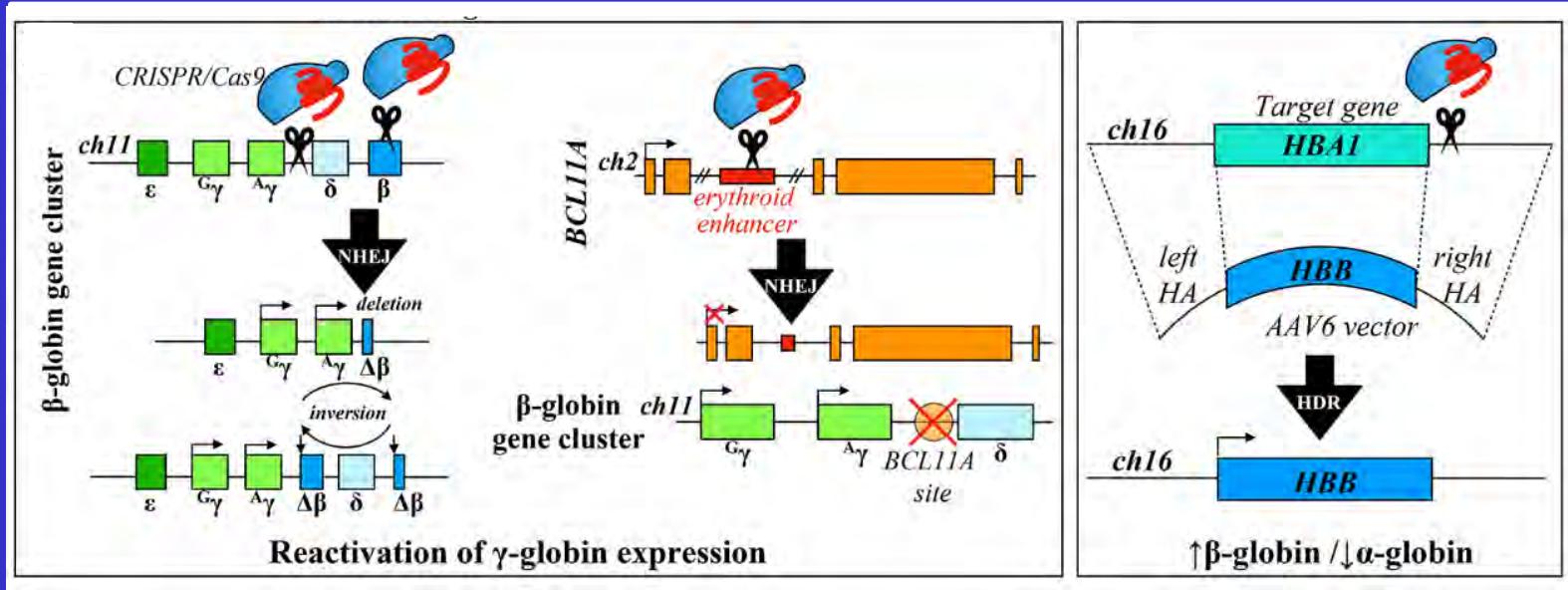


No immunosuppression is required  
life-time therapy  
Recipients can produce healthy children  
Can show off-target activity

# Novel Therapeutic Approaches for $\beta$ -Hemoglobinopathies



# Gene editing



No immunosuppression is required  
Life-time therapy  
Recipients can produce healthy children  
Can show off-target activity

# The $\beta$ -thalassemia paradox: Hb Lepore $\delta\beta$ and HbE ( $\text{Glu} \rightarrow \text{Lys}$ )

chain $\delta$	MVHLTPEEKTAVNALWGKVNVDAVGGEALGRLLVVYPWTQRFFESFGDLSSPDAVMGNPK	60
chain $\beta$	MVHLTPEEKSAVTALWGKVNVDEVGG	60
<b>Hb Lepore <math>\delta\beta</math></b>	<b>MVHLTPEEKSAVTALWGKVNVDEVGG<b>EALGRLLVVYPWTQRFFESFGDLSTPDAVMGNPK</b></b>	<b>60</b>
chain $\delta$	VKAHGKKVLGAFSDGLAHLDNLKGTFSQLSELHCDKLHVDPENFRLGNVLVCVLARNFG	120
chain $\beta$	VKAHGKKVLGAFSDGLAHLDNLKGTFATLSELHCDKLHVDPENFRLGNVLVCVLHHFG	120
<b>Hb Lepore <math>\delta\beta</math></b>	<b>VKAHGKKVLGAFSDGLAHLDNLKGTF-----</b> <b>ARNFG</b>	<b>100</b>
chain $\delta$	KEFTPQMQAAYQKVVAGVANALAHKYH	147
chain $\beta$	KEFTPQVQAAYQKVVAGVANALAHKYH	147
<b>Hb Lepore <math>\delta\beta</math></b>	<b>KEFTPQMQAAYQKVVAGVANALAHKYH</b>	<b>126</b>

Gerald, P. S., & Diamond, L. K. (1958). A new hereditary hemoglobinopathy (the Lepore trait) and its interaction with thalassemia trait. *Blood*, 13(9), 835–844.

Fucharoen, S., & Weatherall, D. J. (2012). The hemoglobin E thalassemias. *Cold Spring Harbor perspectives in medicine*, 2(8), a011734.